

Investment Club



0845 0700 720
www.selftrade.co.uk

Please return your completed form together with the attached Letter of Authorisation in the envelope provided (no stamp is needed), alternatively post it to: Selftrade, Account Management, Boatman’s House, 2 Selsdon Way, London E14 9LA.

On completion and submission of this form you will be subject to our Terms and Conditions.

Section A Club details you **must** complete all fields marked * please use **CAPITALS**

Full name of Investment Club*

Lead Investor

The Lead Investor is the Club member who will trade on behalf of the Club. All correspondence will be sent to this address, together with the Club’s account number and PIN.

Selftrade account number if applicable

Title*	Mr <input type="checkbox"/>	Mrs <input type="checkbox"/>	Miss <input type="checkbox"/>	Ms <input type="checkbox"/>	Other title	<input type="text"/>
Surname*	<input type="text"/>				Any other surname used in last 3 years	<input type="text"/>
Forenames*	<input type="text"/>				Mother’s maiden name*	<input type="text"/>
					for security purposes	
Permanent residential address*	<input type="text"/> <input type="text"/> <input type="text"/>				Date of birth*	<input type="text" value="DD / MM / YYYY"/>
Postcode*	<input type="text"/>				Nationality*	<input type="text"/>
Previous address*	<input type="text"/> <input type="text"/> <input type="text"/>				Daytime phone*	<input type="text"/>
if you have moved in the last 2 years					Evening phone	<input type="text"/>
					Mobile phone*	<input type="text"/>
Postcode*	<input type="text"/>				Email address*	<input type="text"/>

Section B Banking details

You **must** set up a Direct Debit instruction from a UK bank account in the name of the Club to open a Selftrade account. You do **not** have to fund your account by Direct Debit. The bank account should be in the name of the Club, and signed in accordance with the mandate held at the Club's bank.

This Direct Debit instruction gives you the flexibility to make payments at any time to and from your Selftrade account.

Funds will take at least three working days to clear. For immediate account funding, you can use a Switch/Maestro or VISA Delta debit card.

Selftrade will only execute trades if there are sufficient cleared funds available within the account to cover the cost of the trade.

Instruction to your Bank or Building Society to pay by Direct Debit

Please fill out the whole form using a ball point pen and send to:

Selftrade, Account Management, Boatman's House, 2 Selsdon Way, London E14 9LA.



Name(s) of Account Holder(s)

Branch Sort Code

Bank/Building Society account number

Name and full postal address of your Bank or Building Society

To: The Manager	Bank/Building Society
Address	
Postcode	

Originator's Identification Number

8	0	8	6	0	4
---	---	---	---	---	---

Reference Number (please leave blank)

Instruction to your Bank or Building Society

Please pay Talos Securities Limited, trading as Selftrade, Direct Debits from the account detailed in this instruction subject to the safeguards assured by the Direct Debit Guarantee. I understand that this instruction may remain with Talos Securities Limited, trading as Selftrade, and, if so, details will be passed electronically to my Bank/Building Society.

Signature(s)

X

Date

Banks and Building Societies may not accept Direct Debit instructions for some types of account

THIS INFORMATION IS FOR SELFTRADE USE ONLY

This is not part of the instruction to your Bank or Building Society.

Setting up regular payments

Do you want to make regular payments from your Club's bank account into your Selftrade account?

Yes No

If **Yes**, please choose the date you would like payments to be debited from your bank account

1st 15th

We will collect payments on the working day closest to this date each month

Amount that you would like to transfer each month

£

Direct Debit Guarantee

This Direct Debit Guarantee should be detached and retained by the payer.



- This Guarantee is offered by all banks and building societies that accept instructions to pay Direct Debits
- If there are any changes to the amount, date or frequency of your Direct Debit Talos Securities Limited, trading as Selftrade, will notify you 10 working days in advance of your account being debited or as otherwise agreed. If you request Talos Securities Limited, trading as Selftrade, to collect a payment, confirmation of the amount and date will be given to you at the time of the request
- If an error is made in the payment of your Direct Debit by Talos Securities Limited, trading as Selftrade, or your bank or building society you are entitled to a full and immediate refund of the amount paid from your bank or building society - If you receive a refund you are not entitled to, you must pay it back when Talos Securities Limited, trading as Selftrade, asks you to
- You can cancel a Direct Debit at any time by simply contacting your bank or building society. Written confirmation may be required. Please also notify us

Section C Depositing the Club's share certificates or cash

Please complete this section if you want to deposit shares or funds into your account

Please tick one or both options

Shares

I want to transfer share certificates into the Club's Selftrade account.

The Club encloses a CREST transfer form for each shareholding, together with the corresponding share certificate(s). Share certificates **must** be registered in the names of at least **two members** of the Club.

Number of CREST forms

Cheque

takes 3-5 working days to clear

The Club wants to fund its Selftrade account by cheque

The Club encloses a cheque payable to 'Selftrade client account' to fund its account. The Club understands that the cheque will be returned if the Club's application is unsuccessful. The cheque must be drawn on the UK bank account in the **name of the Club**, as specified in the Direct Debit instruction.

£

Section D Declaration

Read and sign below

Please open a Selftrade Dealing account in the name of the Investment Club. The Club members declare that the information on this application form is true to the best of their belief. It is understood and agreed that the Club will be bound by the Terms and Conditions. It is also accepted that Selftrade in its absolute discretion may decline this application without reason being given. It is acknowledged that Selftrade offers an execution-only investment service. The Club will notify Selftrade of any change to its Lead Investor or Lead Investor details.

By becoming a client of Selftrade the Club consents to us holding and using information for the purposes set out in our Terms and Conditions. In accordance with the Terms and Conditions we may, from time to time, send the Club information regarding new products and promotions. If you would prefer not to be informed of these, please tick the box.

Signature of Lead Investor*

Date*

DD / MM / YYYY

Supporting documentation

Please provide the following documentation:

- Constitution and Rules: The Club's constitution and rules, certified as true copies by at least two signatories
- Letter Authorising Account Opening: The standard letter authorising the Lead Investor to invest on the Club's behalf, signed in accordance with the Club's Constitution
- Bank statement: original statement less than 3 months old and in the name of the Club

If you have nominated an internet bank account, we will accept a statement on which the bank's logo, your account number, plus your name and address are displayed. Should any of this information be missing, please supply **one** of the following:

- a letter from your bank confirming the Club's bank account number
- a cheque from the account crossed through with 'VOID'.

Club members - Existing customers

Please indicate each member's account number in Section A or Section F. No further documentation is required.

Club members - New customers

Please include supporting documentation as detailed below for each Club member who does not hold a Selftrade account.

Bank statements and bills **must** be originals **and** less than 3 months old. **Photocopies cannot be accepted.** We will return any original documents to you as soon as we have processed your application.

UK residents:

For Club members who have been at their current address for more than two years and are on the current Electoral Roll, please include **one** of the following with the member's name and address details clearly displayed:

- Gas, electricity or fixed line telephone bill. Mobile phone and internet utility bills cannot be accepted
- Bank statement. Photocopies and internet bank statements cannot be accepted
- Credit card statement
- Local authority bill for the current year.

For Club members who have been at their current address for less than two years and/or are not on the current Electoral Roll, please include **one** of the following with the member's name and address details clearly displayed:

- Gas, electricity or fixed line telephone bill. Mobile phone and internet utility bills cannot be accepted
- Bank statement. Photocopies and internet bank statements cannot be accepted
- Credit card statement
- Local authority bill for the current year.

plus one of the following:

- A current HM Revenue & Customs Tax Notification, **or**
- Certified copy of the member's current UK driving licence, **or**
- Certified copy of the member's current signed passport.

The certified copies must be officially stamped and signed together with the following statement 'certified as a true copy of the original' **by a UK lawyer, bank manager, or accountant. The person certifying the documents must give his/her full name, address and daytime telephone number.**

Section E Authorisation

please use **CAPITALS**

This agreement is made on the (day) of (month), 20 (year)
 between the members of the Investment Club ("the Club")
 whose names are given below, and (Lead Investor).

The Club wishes to appoint the above Lead Investor to act on its behalf as the Club's agent for the purposes of registering an application in the Club's name with Selftrade, and take full responsibility for the day-to-day investment policy and activities in respect of the Club's funds to be invested through Selftrade.

It is hereby agreed as follows:

1. The members of the Club hereby appoint the Lead Investor as their agent, and the Lead Investor hereby agrees in such capacity to:

1.1 complete a Selftrade Investment Club application form (in accordance with the instructions on that form) on behalf of the Club and submit such a completed application form and any other documents that may be required to Selftrade to enable Selftrade to open a Dealing account in the Club's name;

1.2 deposit such funds into the Club's Selftrade Dealing account, as may be agreed from time to time between the Lead Investor and the Club;

1.3 invest the funds of the Club in such manner and according to such policies as are agreed from time to time between the Lead Investor and the Club and for such purposes the Lead Investor may instruct Selftrade to:

1.3.1 sell, assign, transfer or otherwise dispose of all or any of the investments held by the nominee appointed by Selftrade as nominee of the Lead Investor or supplied by the Lead Investor as certificates individually registered in the names of the members/trustees of the Club;

1.3.2 give good receipts and discharges for dividends and redemption for all money payable in respect of sales;

1.3.3 receive and give good receipts and discharges for dividends and redemption monies payable in relation thereto, for which Selftrade will deposit funds into the Club's Selftrade Dealing account;

1.4 receive on behalf of the Club all documentation relating to transactions carried out by Selftrade in the Lead Investor's sole name, such documents to include, without prejudice to the generality of the foregoing, contract notes, portfolio valuations and tax vouchers;

1.5 notify Selftrade of any changes to the membership of the Club and to ensure that the Investment Club Agreement is signed by all current Club Members.

2. In giving orders or instructions to Selftrade, the Lead Investor does so on behalf of the Club and upon reliance of the Club's own judgement.

3. The undersigned members of the Club hereby:

3.1 confirm that they have read, understood and agree to be bound by the Selftrade Terms and Conditions;

3.2 acknowledge that Selftrade will treat each Club member as a customer for the purposes of the Selftrade Terms and Conditions;

3.3 acknowledge that Selftrade offers an execution only investment service and that Selftrade does not advise clients on the merits or suitability of any transactions;

3.4 declare that, in accordance with the Proshare Investment Club Manual, no member of the Club shall be compensated for providing services to the Club;

3.5 undertake to ensure that any person who becomes a member of the Club will be required, as prerequisite of such membership, to become party to and agree to be bound by the terms of this Agreement so long as this Agreement remains in force.

4. This agreement will remain in force unless and until it is revoked in writing by all the undersigned members of the Club.

5. This Agreement shall be governed in accordance with the laws of England and Wales.

Full name of
Lead Investor

Signature of
Lead Investor

Date*

Section F Member details

Each Club member should provide his/her name, address and date of birth in the space below and sign in acceptance of the above agreement. We cannot process your Club's application without this and we require the documentation outlined in Section D of the Investment Club application form. We may, from time to time, send Club members details

of products and promotions. Details will not be used for any other purpose or distributed to any other organisation. Each member must tick the box adjacent to their signature if they do not wish to receive these. If supporting documentation has been provided, please circle 1 or 2 to indicate how many pieces have been submitted.

Selftrade account number (if applicable) _____

Name _____

Address _____

Number of pieces of supporting documentation submitted **1 / 2** (Please circle as appropriate)

Signature _____

Postcode _____

DOB (dd/mm/yyyy) __ / __ / ____

Selftrade account number (if applicable) _____
Name _____ Signature _____
Address _____ Postcode _____
_____ DOB (dd/mm/yyyy) __/__/____
Number of pieces of supporting documentation submitted **1 / 2** (Please circle as appropriate)

Selftrade account number (if applicable) _____
Name _____ Signature _____
Address _____ Postcode _____
_____ DOB (dd/mm/yyyy) __/__/____
Number of pieces of supporting documentation submitted **1 / 2** (Please circle as appropriate)

Selftrade account number (if applicable) _____
Name _____ Signature _____
Address _____ Postcode _____
_____ DOB (dd/mm/yyyy) __/__/____
Number of pieces of supporting documentation submitted **1 / 2** (Please circle as appropriate)

Selftrade account number (if applicable) _____
Name _____ Signature _____
Address _____ Postcode _____
_____ DOB (dd/mm/yyyy) __/__/____
Number of pieces of supporting documentation submitted **1 / 2** (Please circle as appropriate)

Selftrade account number (if applicable) _____
Name _____ Signature _____
Address _____ Postcode _____
_____ DOB (dd/mm/yyyy) __/__/____
Number of pieces of supporting documentation submitted **1 / 2** (Please circle as appropriate)

Selftrade account number (if applicable) _____
Name _____ Signature _____
Address _____ Postcode _____
_____ DOB (dd/mm/yyyy) __/__/____
Number of pieces of supporting documentation submitted **1 / 2** (Please circle as appropriate)

Selftrade account number (if applicable) _____
Name _____ Signature _____
Address _____ Postcode _____
_____ DOB (dd/mm/yyyy) __/__/____
Number of pieces of supporting documentation submitted **1 / 2** (Please circle as appropriate)

Selftrade account number (if applicable) _____
Name _____ Signature _____
Address _____ Postcode _____
_____ DOB (dd/mm/yyyy) __/__/____
Number of pieces of supporting documentation submitted **1 / 2** (Please circle as appropriate)

Selftrade account number (if applicable) _____
Name _____ Signature _____
Address _____ Postcode _____
_____ DOB (dd/mm/yyyy) __/__/____
Number of pieces of supporting documentation submitted **1 / 2** (Please circle as appropriate)

Please continue on a separate sheet if necessary

If you are new to Selftrade, where did you hear about us?

Internet*	<input type="checkbox"/>	Newspaper*	<input type="checkbox"/>	Promotional code*	<input type="checkbox"/>	*Please give details <input type="text"/>
Magazine*	<input type="checkbox"/>	Outdoor*	<input type="checkbox"/>	Recommendation*	<input type="checkbox"/>	
Mailshot*	<input type="checkbox"/>	Pension Administrator*	<input type="checkbox"/>	Other*	<input type="checkbox"/>	

Checklist

- | | | | | | |
|----------------------------------|--------------------------|--|------------------------------------|--------------------------|--|
| Lead Investor's Personal Details | <input type="checkbox"/> | Ensure that all personal details for the Lead Investor have been completed including address, postcode and date of birth. | Documentation | <input type="checkbox"/> | Ensure that you have provided any documentation as detailed in Section D to support your application |
| Banking Details | <input type="checkbox"/> | Ensure that the Club's banking details have been supplied. | Constitution | <input type="checkbox"/> | Ensure that a copy of the Rules and the Club's constitution, certified as true copies by at least two signatories, are enclosed. |
| Shares Transfer | <input type="checkbox"/> | Ensure that a CREST transfer form and share certificates for each shareholding to be transferred into the Club's Selftrade account are enclosed. | Letter Authorising Account Opening | <input type="checkbox"/> | Enclose the standard letter authorising the Lead Investor to invest on the Club's behalf, signed in accordance with the Club's Constitution. |
| Cash Transfer | <input type="checkbox"/> | Ensure that a cheque is enclosed if the Club wishes to credit funds to its Selftrade account. | Declaration | <input type="checkbox"/> | This section must be read and signed by the Lead Investor. |

What to do now

Please send your application and supporting documentation to us using the enclosed reply-paid envelope. Alternatively post it to Selftrade, Account Management, Boatman's House, 2 Selsdon Way, London E14 9LA.

What will happen next

We will send you a welcome pack with your account number and other information about using the service. We will send your PIN (Personal Identification Number) separately for security. We will also return your original supporting documentation. If your application is unsuccessful we will return your documentation and cheque (if applicable).